

**Lecture 16.** September 30, 2016

**Topics:** Summary of differential vector operations in Cartesian coordinates on a plane. Polar-coordinate forms of differential vector operators. Relation between directional differential and gradient in polar coordinates.

**Reading:** Appendix C of Holton and Hakim, sections 3 and 10 of Fiedler.

**1. Summary of differential vector operations in Cartesian coordinates on a plane**

Of all vector differential operators discussed in Classes 13 to 15, we will focus on the following ones considered in 2-D Cartesian coordinates, that is on the  $(X, Y)$  plane.

1. Gradient of a 2-D scalar field  $p = p(x, y)$ :  $\nabla p = \mathbf{i} \frac{\partial p}{\partial x} + \mathbf{j} \frac{\partial p}{\partial y}$  (we may conventionally assume  $p$  to be the atmospheric pressure).

2. Divergence of a 2-D vector field  $\mathbf{V} = \mathbf{V}(x, y)$ :  $\nabla \cdot \mathbf{V} = \frac{\partial v_x}{\partial x} + \frac{\partial v_y}{\partial y} \equiv \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}$  (we may conventionally assume  $\mathbf{V}$  to be a horizontal wind velocity vector).

3. Curl of 2-D vector field  $\mathbf{V} = \mathbf{V}(x, y)$ :  $\nabla \times \mathbf{V} = \mathbf{k} \left( \frac{\partial v_y}{\partial x} - \frac{\partial v_x}{\partial y} \right) \equiv \mathbf{k} \left( \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} \right)$ . It is often presented in

coordinate-projection form as  $\mathbf{k} \cdot (\nabla \times \mathbf{V}) = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$ . If  $\mathbf{V}$  is a horizontal velocity vector, then

$\zeta \equiv \omega_z = \mathbf{k} \cdot \boldsymbol{\omega} = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$  is the vertical component of vorticity vector  $\boldsymbol{\omega} = \nabla \times \mathbf{V}$  or, simply, vertical vorticity.

4. Laplacian (Laplace operator) of a 2-D scalar field  $p = p(x, y)$ :  $\nabla^2 p \equiv \nabla \cdot \nabla p = \frac{\partial^2 p}{\partial x^2} + \frac{\partial^2 p}{\partial y^2} \equiv \Delta p$  (we may again conventionally assume  $p$  to be pressure).

**2. Polar-coordinate forms of vector operations**

1. Polar-coordinate form of the  $p$  gradient,  $\nabla p$ , was obtained in Class 16:

$$\nabla p = \frac{\partial p}{\partial r} \hat{\mathbf{r}} + \frac{1}{r} \frac{\partial p}{\partial \theta} \hat{\boldsymbol{\theta}}.$$

2. Divergence in polar coordinates is given by

$$\nabla \cdot \mathbf{V} = \frac{1}{r} \frac{\partial (r v_r)}{\partial r} + \frac{1}{r} \frac{\partial v_\theta}{\partial \theta},$$

where  $v_r$  and  $v_\theta$  are, respectively,  $r$  and  $\theta$  components of  $\mathbf{V}$ , with  $x$ ,  $y$ , and  $r$ ,  $\theta$  related through  $x = r \cos \theta$  and  $y = r \sin \theta$ .

To obtain this expression, use

$$v_r = u \cos \theta + v \sin \theta,$$

$$v_\theta = -u \sin \theta + v \cos \theta,$$

to get

$$u = v_r \cos \theta - v_\theta \sin \theta,$$

$$v = v_r \sin \theta + v_\theta \cos \theta.$$

Differentiate  $u$  partially with respect to  $x$  to get

$$\begin{aligned} \frac{\partial u}{\partial x} &= \frac{\partial u}{\partial r} \frac{\partial r}{\partial x} + \frac{\partial u}{\partial \theta} \frac{\partial \theta}{\partial x} = \frac{\partial r}{\partial x} \frac{\partial}{\partial r} (v_r \cos \theta - v_\theta \sin \theta) + \frac{\partial \theta}{\partial x} \frac{\partial}{\partial \theta} (v_r \cos \theta - v_\theta \sin \theta) \\ &= \frac{\partial r}{\partial x} \left( \frac{\partial v_r}{\partial r} \cos \theta - \frac{\partial v_\theta}{\partial r} \sin \theta \right) + \frac{\partial \theta}{\partial x} \left( \frac{\partial v_r}{\partial \theta} \cos \theta - v_r \sin \theta - \frac{\partial v_\theta}{\partial \theta} \sin \theta - v_\theta \cos \theta \right), \end{aligned}$$

and use

$$x = r \cos \theta, \quad y = r \sin \theta,$$

together with

$$\frac{\partial r}{\partial x} = \frac{\partial}{\partial x} \sqrt{x^2 + y^2} = \frac{x}{\sqrt{x^2 + y^2}} = \frac{x}{r} = \cos \theta, \quad \frac{\partial \theta}{\partial x} = \frac{\partial}{\partial x} \arctan \frac{y}{x} = -\frac{1}{1 + \frac{y^2}{x^2}} \frac{y}{x^2} = -\frac{y}{x^2 + y^2} = -\frac{\sin \theta}{r},$$

to obtain

$$\frac{\partial u}{\partial x} = \left( \frac{\partial v_r}{\partial r} \cos \theta - \frac{\partial v_\theta}{\partial r} \sin \theta \right) \cos \theta - \left( \frac{\partial v_r}{\partial \theta} \cos \theta - v_r \sin \theta - \frac{\partial v_\theta}{\partial \theta} \sin \theta - v_\theta \cos \theta \right) \frac{\sin \theta}{r}.$$

Differentiate  $v$  partially with respect to  $y$ ,

$$\begin{aligned} \frac{\partial v}{\partial y} &= \frac{\partial v}{\partial r} \frac{\partial r}{\partial y} + \frac{\partial v}{\partial \theta} \frac{\partial \theta}{\partial y} = \frac{\partial r}{\partial y} \frac{\partial}{\partial r} (v_r \sin \theta + v_\theta \cos \theta) + \frac{\partial \theta}{\partial y} \frac{\partial}{\partial \theta} (v_r \sin \theta + v_\theta \cos \theta) \\ &= \frac{\partial r}{\partial y} \left( \frac{\partial v_r}{\partial r} \sin \theta + \frac{\partial v_\theta}{\partial r} \cos \theta \right) + \frac{\partial \theta}{\partial y} \left( \frac{\partial v_r}{\partial \theta} \sin \theta + v_r \cos \theta + \frac{\partial v_\theta}{\partial \theta} \cos \theta - v_\theta \sin \theta \right), \end{aligned}$$

and use

$$\frac{\partial r}{\partial y} = \frac{\partial}{\partial y} \sqrt{x^2 + y^2} = \frac{y}{\sqrt{x^2 + y^2}} = \frac{y}{r} = \sin \theta, \quad \frac{\partial \theta}{\partial y} = \frac{\partial}{\partial y} \arctan \frac{y}{x} = \frac{1}{1 + \frac{y^2}{x^2}} \frac{1}{x} = \frac{x}{x^2 + y^2} = \frac{\cos \theta}{r},$$

to obtain

$$\frac{\partial v}{\partial y} = \left( \frac{\partial v_r}{\partial r} \sin \theta + \frac{\partial v_\theta}{\partial r} \cos \theta \right) \sin \theta + \left( \frac{\partial v_r}{\partial \theta} \sin \theta + v_r \cos \theta + \frac{\partial v_\theta}{\partial \theta} \cos \theta - v_\theta \sin \theta \right) \frac{\cos \theta}{r}.$$

Finally, bring  $\frac{\partial u}{\partial x}$  and  $\frac{\partial v}{\partial y}$  together to get

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} = \nabla \cdot \mathbf{V} = \frac{v_r}{r} + \frac{\partial v_r}{\partial r} + \frac{1}{r} \frac{\partial v_\theta}{\partial \theta} = \frac{1}{r} \frac{\partial r v_r}{\partial r} + \frac{1}{r} \frac{\partial v_\theta}{\partial \theta}.$$

3. Vertical ( $z$ ) component of the curl of a 2-D horizontal vector field  $\mathbf{V}$  is given in cylindrical coordinates ( $r, \theta, z$ ) by

$$\mathbf{k} \cdot (\nabla \times \mathbf{V}) = \frac{1}{r} \frac{\partial (r v_\theta)}{\partial r} - \frac{1}{r} \frac{\partial v_r}{\partial \theta},$$

where  $v_r$  and  $v_\theta$  are, respectively,  $r$  and  $\theta$  components of  $\mathbf{V}$ .

To derive this expression, write vertical component of the curl in 2-D Cartesian coordinates as (see p. 1.3):

$$\mathbf{k} \cdot \nabla \times \mathbf{V} = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$$

and proceed using

$$u = v_r \cos \theta - v_\theta \sin \theta, \quad v = v_r \sin \theta + v_\theta \cos \theta,$$

$$\frac{\partial r}{\partial x} = \cos \theta, \quad \frac{\partial \theta}{\partial x} = -\frac{\sin \theta}{r}, \quad \frac{\partial r}{\partial y} = \sin \theta, \quad \frac{\partial \theta}{\partial y} = \frac{\cos \theta}{r},$$

analogously to p. 2.2 above (case of divergence) to express  $\frac{\partial v}{\partial x}$  and  $\frac{\partial u}{\partial y}$  through  $v_r, v_\theta, r,$  and  $\theta$ . Then

subtract  $\frac{\partial u}{\partial y}$  from  $\frac{\partial v}{\partial x}$  to get

$$\frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} = \frac{1}{r} \frac{\partial (r v_\theta)}{\partial r} - \frac{1}{r} \frac{\partial v_r}{\partial \theta}.$$

4. Laplace operator (Laplacian) of a differentiable scalar field  $\varphi$  in polar coordinates on a plane is given by

$$\nabla^2 \varphi \equiv \nabla \cdot \nabla \varphi = \frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial \varphi}{\partial r} \right) + \frac{1}{r^2} \frac{\partial^2 \varphi}{\partial \theta^2}.$$

To obtain this expression, start from its 2-D Cartesian counterpart:

$$\nabla^2 \varphi = \frac{\partial^2 \varphi}{\partial x^2} + \frac{\partial^2 \varphi}{\partial y^2}.$$

Differentiate  $\varphi$  with respect to  $x$  to get

$$\frac{\partial \varphi}{\partial x} = \frac{\partial \varphi}{\partial r} \frac{\partial r}{\partial x} + \frac{\partial \varphi}{\partial \theta} \frac{\partial \theta}{\partial x}.$$

Then differentiate the obtained expression to get

$$\frac{\partial^2 \varphi}{\partial x^2} = \frac{\partial^2 \varphi}{\partial r^2} \left( \frac{\partial r}{\partial x} \right)^2 + \frac{\partial \varphi}{\partial r} \frac{\partial^2 r}{\partial x^2} + \frac{\partial^2 \varphi}{\partial \theta^2} \left( \frac{\partial \theta}{\partial x} \right)^2 + \frac{\partial \varphi}{\partial \theta} \frac{\partial^2 \theta}{\partial x^2} + 2 \frac{\partial^2 \varphi}{\partial r \partial \theta} \frac{\partial r}{\partial x} \frac{\partial \theta}{\partial x}.$$

Relating polar coordinates  $r$  and  $\theta$  to the plane Cartesian coordinates  $x$  and  $y$  through

$$x = r \cos \theta, \quad y = r \sin \theta, \quad r = \sqrt{x^2 + y^2}, \quad \theta = \arctan(y/x),$$

we find

$$\frac{\partial r}{\partial x} = \frac{x}{\sqrt{x^2 + y^2}} = \cos \theta, \quad \frac{\partial \theta}{\partial x} = -\frac{y}{x^2 + y^2} = -\frac{\sin \theta}{r}, \quad \frac{\partial^2 r}{\partial x^2} = \frac{y^2}{(x^2 + y^2)^{3/2}} = \frac{\sin^2 \theta}{r},$$

$$\frac{\partial^2 \theta}{\partial x^2} = \frac{2xy}{(x^2 + y^2)^2} = \frac{2 \sin \theta \cos \theta}{r^2},$$

and arrive at

$$\frac{\partial^2 \varphi}{\partial x^2} = \frac{\partial^2 \varphi}{\partial r^2} \cos^2 \theta + \frac{\partial \varphi}{\partial r} \frac{\sin^2 \theta}{r} + \frac{\partial^2 \varphi}{\partial \theta^2} \frac{\sin^2 \theta}{r^2} + \frac{\partial \varphi}{\partial \theta} \frac{2 \sin \theta \cos \theta}{r^2} - 2 \frac{\partial^2 \varphi}{\partial r \partial \theta} \frac{\sin \theta \cos \theta}{r}.$$

Analogously, one can obtain the following expression for the second derivative of  $\varphi$  with respect to  $y$ :

$$\frac{\partial^2 \varphi}{\partial y^2} = \frac{\partial^2 \varphi}{\partial r^2} \sin^2 \theta + \frac{\partial \varphi}{\partial r} \frac{\cos^2 \theta}{r} + \frac{\partial^2 \varphi}{\partial \theta^2} \frac{\cos^2 \theta}{r^2} - \frac{\partial \varphi}{\partial \theta} \frac{2 \sin \theta \cos \theta}{r^2} + 2 \frac{\partial^2 \varphi}{\partial r \partial \theta} \frac{\sin \theta \cos \theta}{r}.$$

Summing up the above expressions, we come up with

$$\frac{\partial^2 \varphi}{\partial x^2} + \frac{\partial^2 \varphi}{\partial y^2} = \nabla^2 \varphi = \frac{\partial^2 \varphi}{\partial r^2} + \frac{1}{r} \frac{\partial \varphi}{\partial r} + \frac{1}{r^2} \frac{\partial^2 \varphi}{\partial \theta^2}.$$

### 3. Relation between directional differential and gradient in polar coordinates

In Class 13 we considered a displacement vector  $d\mathbf{m}$  in Cartesian space and obtained the following expression for the directional differential  $dT$  of the scalar variable  $T$  due to the displacement  $d\mathbf{m}$  (we look here at the 2-D version of that expression):

$$dT = \left( \frac{\partial T}{\partial x} \mathbf{i} + \frac{\partial T}{\partial y} \mathbf{j} \right) \cdot (dx \mathbf{i} + dy \mathbf{j}) = \nabla T \cdot d\mathbf{m} = \frac{\partial T}{\partial x} dx + \frac{\partial T}{\partial y} dy,$$

where  $d\mathbf{m} = dx \mathbf{i} + dy \mathbf{j}$  is the displacement vector expressed in the Cartesian coordinate form.

Now consider the displacement vector  $d\mathbf{m}$  in 2-D polar coordinates  $(r, \theta)$  related to Cartesian coordinates through

$$x = r \cos \theta \quad \text{and} \quad y = r \sin \theta.$$

In the  $(r, \theta)$  coordinates, the decomposition of  $d\mathbf{m}$  is presented by

$$d\mathbf{m} = d\mathbf{r} = d(r\hat{\mathbf{r}}) = dr\hat{\mathbf{r}} + r d\hat{\mathbf{r}} = dr\hat{\mathbf{r}} + r \frac{d\hat{\mathbf{r}}}{d\theta} d\theta = dr\hat{\mathbf{r}} + rd\theta\hat{\boldsymbol{\theta}},$$

where we used  $\frac{d\hat{\mathbf{r}}}{d\theta} = \hat{\boldsymbol{\theta}}$ , see Class 16.

Assuming that expression for differential  $dT = \nabla T \cdot d\mathbf{m}$  holds in polar coordinates, we may write

$$dT = \frac{\partial T}{\partial r} dr + \frac{\partial T}{\partial \theta} d\theta = \nabla T \cdot d\mathbf{m} = (\nabla T_r \hat{\mathbf{r}} + \nabla T_\theta \hat{\boldsymbol{\theta}}) \cdot (dr\hat{\mathbf{r}} + rd\theta\hat{\boldsymbol{\theta}}),$$

or, using rules of evaluation of the dot product,

$$\frac{\partial T}{\partial r} dr + \frac{\partial T}{\partial \theta} d\theta = \nabla T_r dr + \nabla T_\theta r d\theta,$$

from which we conclude that

$$\frac{\partial T}{\partial r} = \nabla T_r \quad \text{and} \quad \frac{\partial T}{\partial \theta} = r \nabla T_\theta.$$

Therefore, components  $\nabla T_r$  and  $\nabla T_\theta$  of the gradient  $\nabla T$  in polar coordinates are

$$\nabla T_r = \frac{\partial T}{\partial r} \quad \text{and} \quad \nabla T_\theta = \frac{1}{r} \frac{\partial T}{\partial \theta},$$

and the gradient may be written as

$$\nabla T = \frac{\partial T}{\partial r} \hat{\mathbf{r}} + \frac{1}{r} \frac{\partial T}{\partial \theta} \hat{\boldsymbol{\theta}}.$$

This is the same expression that was already obtained, albeit in a different way, in Class 16: